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3 **Habitat Characterization, Distribution, and Areal Extent of Deep-sea Coral Ecosystems off**
4 **Florida, Southeastern U.S.A.**

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9 Running Head: Distribution Deep-sea Coral Ecosystems off Southeastern U.S.

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25 ABSTRACT. The deep-sea (200-1000 m) seafloor off the southeastern U.S. has a variety of
26 extensive deep-sea coral ecosystem (DSCE) habitats including: deep-water coral mounds;
27 various hard-bottom habitats off Florida including the Miami Terrace, Pourtalès Terrace, and
28 deep-water canyons (Agassiz and Tortugas Valleys); and deep island slopes off western Bahamas
29 and northern Cuba. The dominant structure-forming scleractinian corals are *Lophelia pertusa*
30 and *Enallopsammia profunda*; other structure-forming taxa include stylasterid corals,
31 gorgonians, black corals, and sponges. This biota is associated with hard-bottom seafloor of
32 variable high-relief topography which can be remotely identified from bathymetric data. NOAA
33 bathymetric contour maps and digital elevation models were used to identify and delineate the
34 areal extent of potential DSCE habitat in the region from northeastern Florida through the Straits
35 of Florida. These were ground-truthed with 241 dives with submersibles and remotely operated
36 vehicles which confirmed deep-sea coral habitat. We estimate a total of 39,910 km² of DSCE
37 habitat in this region. By comparison, the estimated areal extent of shallow-water coral habitat
38 for all U.S. waters is 36,813 km². Bottom trawling remains the greatest threat to DSCEs
39 worldwide, and as a result NOAA has established five deep-water Coral Habitat Areas of
40 Particular Concern (CHAPCs), encompassing 62,714 km² from North Carolina to south Florida,
41 which will protect much of the known deep-sea coral habitat in this region. High-resolution
42 surveys are not only critical to define DSCE habitats but also to define areas devoid of coral and
43 sponge habitats that may allow for potential bottom fisheries and energy development.
44
45 Keywords: conservation, deep-sea coral ecosystem, habitat mapping, *Lophelia*

INTRODUCTION

Deep-sea coral ecosystems (DSCEs) occur extensively off the southeastern United States from North Carolina to Florida, and within the Straits of Florida between Florida, the Bahamas and Cuba. The southeastern U.S. may have the most extensive areas of deep-sea coral in U.S. waters (Hain and Corcoran 2004); however, this large region is poorly explored and their extent is unknown. Only a few, limited areas of deep-sea habitat has been remotely mapped off Florida (Reed et al. 2005 b, Grasmueck et al. 2006, 2007, Reed 2008), and the percentage of seafloor explored visually with human occupied submersibles and remotely operated vehicles (ROVs) remains small. In the broad sense, DSCEs in this region occur at depths of 50 m to >1000 m and consist of structure-forming, deep-water corals (including scleractinian corals, gorgonian octocorals, black corals, and stylasterid hydrozoan corals) and other associated structure-forming species such as sponges, bryozoans, and hydroids, all of which may provide habitat to hundreds of species of invertebrates and demersal fishes (Lumsden et al. 2007, Partyka et al. 2007, Messing et al. 2008). We refer to deep-sea corals as a loosely defined polyphyletic assemblage of scleractinian corals, zoanthids, black corals, octocorals, and hydrocorals belonging to the phylum Cnidaria (Etnoyer et al. 2006, Lumsden et al. 2007).

Deep-sea coral ecosystems may consist of a variety habitat types including coral banks, bioherms, lithoherms, and hard bottom, and are broadly defined as deep-water coral reefs based on their physical and biological characteristics (Rogers 1999, Roberts et al. 2009). Deep-sea bioherms are mounds of unconsolidated mud and coral debris which may be capped with thickets of live coral. Deep-sea lithoherms, however, are defined as limestone mounds of lithified carbonate rather than unconsolidated mud mounds but are also capped with coral habitat (Neumann et al. 1977). The DSCEs in this region also include other hard-bottom habitats such as exposed rock substrate. These rocky hard-bottom habitats include rock escarpments, rock boulders, pavement, deep island slopes, and karstic topographic features such as deep-water sinkholes, all of which provide substrate for a variety of deep-sea corals and sponges.

High-relief coral mounds (including both bioherms and lithoherms) are common in this region on the Blake Plateau from North Carolina to south Florida and the Bahamas at depths of 400 to >900 m and are typically capped with *Lophelia pertusa* and/or *Enallopsammia profunda* corals (Stetson et al. 1962, Neumann & Ball 1970, Neumann et al. 1977, Messing et al. 1990, Paull et al. 2000, Reed et al. 2005 a, 2006, Grasmueck et al. 2007, Ross and Quattrini 2007, Messing et al. 2008). In the Straits of Florida, off southeastern Florida, the continental slope is interrupted by two intermediate-depth terraces (200-600 m): the Miami and Pourtalès Terraces which also provide DSCE hard-bottom habitat. Their surfaces are composed of limestone outcrops and each exhibits high-relief rock ridges, escarpments, and karst-like deep-water sinkholes that provide hard-bottom habitat for corals and sponges (Jordan et al. 1964, Ballard & Uchupi 1971, Land & Paull 2000, Reed et al. 2005 a, 2006). A large portion of this region from North Carolina to southern Florida is now protected within the deep-water Coral Habitat Areas of Particular Concern (CHAPCs, Fig. 1) which were established by NOAA (2010), encompassing 62,714 km². These sites were originally proposed by the South Atlantic Fishery Management Council (SAFMC 2009) which manages commercial fisheries in this region.

The dominant corals forming deep-sea coral habitat deeper than 200 m in the Straits of Florida are the azooxanthellate, colonial scleractinians *L. pertusa* and *E. profunda* with lesser contributions by *Madrepora oculata* and *Solenosmilia variabilis*; various species of hydrocorals of the family Stylasteridae; numerous octocorals primarily of the families Paramuriceidae, Isididae,

92 Primnoidae, and Chrysogorgiidae; and several black coral species (Reed et al. 2005 a, 2006, Ross
93 and Nizinski 2007, Messing et al. 2008). In addition, the scleractinian *Oculina varicosa* forms
94 azooxanthellate coral bioherms off eastern Florida at depths of 70-100 m (Reed 1980, Reed 2002
95 a,b, Reed et al. 2005 b).

96 We hypothesize that the deep-water (>200 m depth), high-relief (>15 m) topographic
97 features in this region are, in fact, deep-sea coral ecosystem habitats. Our research expeditions
98 using submersibles, ROVs, and Autonomous Underwater Vehicles (AUVs) have documented the
99 distribution, habitat, and biodiversity of these poorly known deep-water habitats. In this paper,
100 we have compiled the distribution of over 400 sites, from northeastern Florida (31°N) through
101 the Straits of Florida between Florida, Bahamas and Cuba that show high-relief bathymetry, and
102 we predict, probable deep-sea coral habitat. Of these, we have ground-truthed 147 sites with 241
103 submersible and ROV dives. In addition, we have included museum records of deep-water
104 scleractinian corals in this region from historical dredge and trawl samples. By plotting these
105 data onto NOAA digital elevation models and scanned electronic bathymetric contour maps, we
106 map and calculate the areal extent of high-relief topography that we predict is deep-sea coral
107 ecosystem habitat and classify the various habitat types.

108 109 METHODS

110
111 The region of study extends from northeastern Florida (31°N) through the Straits of Florida
112 between Florida, Bahamas and Cuba. Data on the distribution, areal extent, and habitat types of
113 deep-sea coral ecosystems were collected in this region and were compiled in ArcGIS
114 (ArcView®, ArcMap® version 9.3). The primary dataset consisted of data collected between
115 1999 and 2009 from submersible and ROV research dives, side-scan and multibeam sonar
116 mapping with AUVs and shipboard surveys, and benthic video surveys for proposed commercial
117 deep-water liquid natural gas pipelines and ports, and deep-water cables. These data provide
118 precise information on the occurrence and distribution of deep-water coral ecosystem habitats.
119 Submersible and ROV dive tracks, written logs, video annotations, collections, *in situ*
120 photographs and videotapes were recorded and archived at the Harbor Branch Oceanographic
121 Institute (HBOI), Florida Atlantic University. Some data were also compiled by the lead author
122 (J.Reed) for the Southeast Area Monitoring and Assessment Program (SEAMAP, Arendt et al.
123 2003, Udouj 2007) and for the Southeastern United States Deep-Sea Corals Initiative
124 (SEADESC, Partyka et al. 2007).

125 In order to define the areal extent of high-relief topography in this region, we scanned and
126 digitized several bathymetric contour maps off eastern Florida and the Straits of Florida and
127 imported them into ArcGIS. Ideally, multibeam and side-scan sonar maps provide the best
128 resolution for delineating the extent of deep-sea floor features and calculating their dimensions
129 (Roberts et al. 2009). However, in this region these high resolution data are presently limited to
130 a few areas (Grasmueck et al. 2006, 2007, Reed 2008). The best available regional bathymetry
131 was obtained from NOAA's National Geophysical Data Center (NGDC) as coastal relief digital
132 elevation models (DEM) and as scanned regional bathymetric contour maps. Both datasets were
133 necessary because the regional contour maps had greater detail than the DEM map lacked, and
134 also contained detailed contours in some data gap areas of the DEM. However, the contour maps
135 were limited because they were only available as TIFF images, not as digitized depth contours.

136 The NGDC's U.S. Coastal Relief Model Volume 3 provided a comprehensive view of the
137 region, integrating various offshore bathymetry datasets into one seamless representation of the

138 seafloor. Bathymetric data sources included the U.S. National Ocean Service Hydrographic
139 Database, the U.S. Geological Survey, the Monterey Bay Aquarium Research Institute, the U.S.
140 Army Corps of Engineers, the International Bathymetric Chart of the Caribbean Sea and the Gulf
141 of Mexico project, and various other academic institutions. A custom-sized DEM was
142 downloaded from the NGDC DEM portal, imported into ArcGIS, and hill-shaded to provide a 3-
143 D modeled surface illuminated at 45° sun angle and azimuth.

144 Scanned regional bathymetric maps were also downloaded from the NGDC website as high
145 resolution images and georeferenced in ArcGIS. These topographic maps of the sea floor
146 provided detailed depth contours illustrating the size, shape and distribution of underwater
147 features. These maps were only available as TIFF images, not as digitized depth contours. The
148 following NOAA NOS regional bathymetric maps were used in our GIS project: NH17-6,
149 NH17-9, NH17-12, NG17-3, NG17-6, NG17-9, NG17-12, L-184, and L-185. For the Straits of
150 Florida, we also imported the Malloy and Hurley (1970) bathymetric maps which showed
151 prominent features with 18-m contour resolution, and the Ballard and Uchupi (1971) map which
152 provided very good detail in 5-m contours of the Miami Terrace.

153 All data were imported into ArcGIS and layered appropriately for best visualization. The
154 data were then visually interpreted into vector polygons that delineated all variable and high
155 relief (>15 m) features deeper than 200 meters (Figs. 1-4). Although not mathematically
156 derived, visual interpretation of bathymetric data can yield high accuracies comparable to that of
157 imagery in shallow waters (Walker et al. 2008). Given the data sources' formats, visual
158 interpretation was the most practical. The coastal relief model DEM provided general detail for
159 areas within its extent; however, the scanned NGDC bathymetric maps (NG, NH, and L series)
160 and the maps of Malloy and Hurley (1970) and Ballard and Uchupi (1971) often provided greater
161 resolution and detail of bottom features. The criteria used for recognizing a topographic feature
162 as high relief were an elevation >15 m in height and steep slopes relative to the surrounding flat
163 bottom. Typically, individual coral mounds are 15 to >150 m in height and 1-2 km in diameter
164 although some exceed 6 km. The slopes of individual mounds vary from 10-30° and some may
165 exceed 70-80° on the upper flanks. In addition, steep rocky slopes were typically found on the
166 escarpments of Miami and Pourtales Terraces and on deep island slopes of the Bahamas and
167 Cuba. Areas with multiple mounds in close proximity were mapped as one large unit. The
168 planar area of each polygon was calculated in ArcGIS.

169 Submersible and ROV dives were used to ground-truth the vector polygons. From 1999 to
170 2009, deep-water coral sites were surveyed from northeastern Florida (31°N) through the Straits
171 of Florida between Florida, Bahamas and Cuba, using manned submersibles, ROVs and AUVs.
172 To illustrate how the polygons were drawn, Figure 5 shows two types of bathymetric maps
173 (NOAA-DEM, NOS Pillsbury NH 17-12) that were used in our ArcGIS project. The polygon
174 (black line) was drawn in ArcGIS defining the delineation of the region of high-relief features
175 from relatively flat bottom. Submersible dives ground-truthed several sites (black and white
176 dots) within the polygon verifying the deep-sea coral habitat. The smooth depth contours of the
177 Florida-Hatteras mud slope are apparent to the west of the polygon in Figure 5.

178 Museum specimen records of deep-water, sessile, benthic species such as scleractinian
179 corals collected by dredge and trawl may also be useful for mapping DSCE habitat. Although
180 the precise locations of these records are less accurate than the more recent visual observations
181 described above, they identify locations which could lead to the discovery of additional deep-sea
182 coral habitat. Museum records (National Museum of Natural History, Smithsonian Institution)

183 of deep-water scleractinian corals species collected by dredges or trawls (Cairns 1979, 2000)
184 were included as an additional ArcGIS layer.

185 RESULTS

186 *Bathymetric contour maps*

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190 Based on the planar areal calculations in ArcGIS, the extent of probable deep-sea coral
191 ecosystem (DSCE) habitat greater than 200 m depth from northeastern Florida (31°N) through
192 the Straits of Florida between Florida, Bahamas and Cuba yielded a total of 39,910 km² (Figs. 1-
193 4). Of this total DSCE area, 55.3% is within U.S. territorial waters; 34.7% is in the Bahamas off
194 western Little Bahama Bank, western Great Bahama Bank and Cay Sal Bank; and 10.0% is off
195 northern Cuba (Table 1). Figures 1-4 show the boundaries of the polygons but do not include all
196 of the bathymetry layers of the GIS project that were used to determine the polygon boundaries
197 such as is illustrated in Figure 5.

198 *Submersible, ROV, and AUV records*

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200
201 We surveyed deep-water sites from 1999 to 2009 in this region of study using manned
202 submersibles, ROVs and AUVs, during 16 cruises and 256 dives (189 submersible, 52 ROV, 15
203 AUV). We estimate that the submersible dives covered ~350 km of bottom, ROV ~230 km, and
204 sonar surveys (ship and AUV) ~567 km².

205 Currently we have documented >400 high-relief, topographic target sites from sonar records
206 (single-band sonar, side-scan sonar or multibeam sonar) at depths between 200 and 900 m that
207 we suspect are deep-sea coral ecosystem habitats. Of these, we have ground-truthed 147 sites
208 with 241 submersible and ROV dives throughout the geographical range of this study from north
209 Florida to the southern Straits of Florida including both sides of the Straits and have documented
210 the presence of DSCE habitat at every site (Figs. 2-4).

211 Figures 1 and 3 show a large, roughly triangular area (stripped polygon) just northwest of
212 Great Bahama Bank that appears as a data gap in the DEM map, and in which we lack mapping
213 and ground-truthed data although there are some museum records of deep-water scleractinian
214 corals in this region (black dots). Although the narrower triangular portion of this zone north of
215 26°30'N falls within the broad swath of lithoherms indicated by Mullins and Neumann (1979)
216 off Little Bahama Bank, the broader southern portion spans a broad triangular drift of prograding
217 sediments that extends northward from the northwestern tip of Great Bahama Bank (Mullins and
218 Neumann 1979, Mullins et al. 1980). This region is less likely to support extensive deep-water
219 coral ecosystem habitat, but may include low-relief, submarine cemented hardgrounds overlaid
220 by thin sand veneers (Mullins et al. 1980). A similar sediment drift extends off the northwestern
221 tip of Little Bahama Bank (Mullins and Neumann 1979, Mullins et al. 1981) and may account
222 for the triangular area where deep-water coral ecosystem habitat is not predicted to occur (Fig.
223 2).

224 Based on visual observations of the ground-truthed sites with submersible and ROV dives,
225 we found that all high-relief dive sites consisted of deep-sea coral habitat; none were entirely
226 soft-bottom habitat. Although the NOAA bathymetric maps were good indicators of high-relief
227 hard bottom, the actual topography of the features typically were much more intricate than the
228 maps showed due to the relatively low resolution of the original bathymetric data. For example,

229 Figure 6 illustrates one site where the bathymetric map showed a slight but apparently
230 insignificant dip in the 10-m contour lines, but our AUV multibeam survey of the same site
231 revealed five 50-m tall mounds. These were later ground-truthed with submersible dives,
232 revealing coverage with the densest growth of *Lophelia* coral thickets that we have found in the
233 entire region (Reed and Farrington 2010). These maps were also inadequate in detecting low-
234 relief pavements that may also provide habitat for deep-sea corals. Because low-relief rock
235 pavements were difficult to visualize in the bathymetry, we did not generally survey them and
236 thus we have likely underestimated the total area of deep-sea coral habitat in this region.

237

238 *Deep-sea coral ecosystem habitat*

239

240 Based on visual observations with submersibles and ROVs of the ground-truthed sites, we
241 determined that there are six general regions of deep-sea coral ecosystem habitat in our study
242 area and calculated the planar areal extent of each in ArcGIS (Table 1): 1) *Lophelia pertusa*
243 and/or *Enallopsammia profunda* coral mounds (bioherms and lithoherms), 2) Miami Terrace and
244 Escarpment, 3) Pourtalès Terrace and Escarpment, 4) Agassiz and Tortugas Valleys, and deep-
245 water island slopes of 5) western Bahamas (which includes Cay Sal Bank) and 6) northern Cuba.
246 These are discussed below.

247

248 Coral mounds (bioherms and lithoherms)

249

250 Within the study area, high-relief *L. pertusa* and/or *E. profunda* coral mound habitat occurs
251 primarily along the axis of the Gulf Stream and Florida Current from north Florida through the
252 Straits of Florida and covers a total of 23,149 km² (Figs. 1-4, red polygons). This habitat also
253 extends north of the study area up to North Carolina within the boundaries of the CHAPCs but
254 outside our area of study. We grouped both lithoherms and bioherms together as it is sometimes
255 difficult to tell them apart; both are often covered with a veneer of coral debris and mud, and in
256 general, share similar benthic assemblages.

257 Submersible dives showed that the slopes of these individual coral mounds are typically 15-
258 30° near the lower flanks and up to 60-90° on the upper flanks (Reed et al. 2006). These are
259 covered with various amounts of coral (from <5% to 100% live coral cover) on the upcurrent
260 slopes (facing the Gulf Stream or Florida Current) and peaks. Submersible surveys were also
261 used to ground-truth three small sites (~80 km²) in the Straits of Florida which had AUV
262 multibeam data (Grasmueck et al. 2006, 2007). These revealed an additional 200 coral mounds
263 with heights ranging from 1-83 m and footprint areas of 100-600,000 m² (T. Correa, pers.
264 comm.). The deep-water *Oculina* coral bioherms off central eastern Florida (Fig. 1) have similar
265 structure as *Lophelia* bioherms, and we have extensively surveyed them with multibeam sonar
266 and submersible and ROV dives, but we did not include them in this project as they occur at
267 depths <100 m (Reed 2002 a, Reed et al. 2005 b).

268

269 Miami and Pourtalès Terraces and Escarpments

270

271 The other habitat types of DSCE in this region are classified as hard-bottom habitats. The
272 Miami Terrace and the Pourtalès Terrace have complex karst-like topography of Tertiary
273 limestones which form high-relief rock ridges, rock mounds, scarps, slabs, and rock pavement
274 (Figs. 3-4). We estimate the areal cover of DSCE habitat for these two regions to be nearly

275 2,329 and 5,823 km², respectively, or 20.4% of all DSCE habitat within our study area (Table 1).
276 Bathymetric maps show the 145-km long Miami Terrace Escarpment to extend as a steep slope
277 from the top edge of the terrace at ~275 m to the eastern base of the escarpment at 550-600 m.
278 Submersible transects show this escarpment to be a series of terrace-like steps of rock slabs and
279 ledges with 30-45° slopes and sharp 90° vertical escarpments up to 90 m in height (Neumann and
280 Ball 1970, Ballard and Uchupi 1971, Reed et al. 2006). The top of the terrace consists of low- to
281 moderate-relief rock pavement, rock slabs, and rubble or sediment veneer over rock (Messing et
282 al. 2006). The Miami Terrace Escarpment ridges are capped with sponges and various corals, *L.*
283 *pertusa*, Stylasteridae, Isididae, and other octocorals, whereas *E. profunda* coral mounds and
284 coral rubble dominate near the foot of the escarpment. South of this, the Pourtalès Terrace parallels
285 the Florida Keys for 213 km in the southern Straits of Florida at depths of 200-450 m, and provides
286 extensive, high-relief, hard-bottom habitat (Jordan et al. 1964, Land and Paull 2000, Reed et al.
287 2005 a). It is bounded to the north by the sediment slope below the Florida Reef Tract and
288 terminates to the south along the steep Pourtalès Escarpment. High-relief, hard-bottom, topographic
289 features consist numerous high-relief mounds and ridges on the mid-terrace and a chain of sinkholes
290 that extends for ~100 km along the southwest terrace margin.

291 Our submersible and ROV dives on both terraces documented DSCE benthic communities
292 consisting of hard corals, stylasterid corals, black corals, gorgonians, and sponges (Reed et al.
293 2005 a, 2006). However, important distinctions exist between the benthic assemblages on the
294 two terraces despite their relatively close proximity. In particular, thickets of *L. pertusa* and *E.*
295 *profunda* are common on the Miami Terrace Escarpment, whereas stylasterid hydrocorals
296 dominate the high-relief mounds on the Pourtalès Terrace and the other two coral species are rare.
297 The reasons for these faunal differences remain unclear.

298 299 Agassiz and Tortugas Valleys

300
301 The Agassiz and Tortugas Valleys have hard-bottom habitats and high-relief escarpments
302 occurring at depths of 512-1,189 m near the western end of the Straits of Florida (Minter et al. 1975,
303 Reed et al. 2005 a). The habitat polygons were drawn in ArcGIS along the steep escarpments of the
304 valleys and covered 660 km². Although we have limited data here, sonar surveys and a few
305 *Johnson-Sea-Link* (J.Reed, unpublished data) and *Alvin* submersible dives (Minter et al. 1975) have
306 documented steep rock walls and DSCE habitat. Our dives documented a sheer 140-m wall from
307 depths of 760 to 900 m over 17 km in length. It appeared to be solid, consolidated clay mud, which
308 supported sessile species. Large boulders at the bottom and rock pavement at the top edge both
309 provided DSCE habitat. The extent of DSCE habitat on these steep vertical walls was difficult to
310 determine using planar area measurements and is thus likely underestimated.

311 312 Deep Island Slopes of the western Bahamas and the northern Cuba

313
314 The deep island slopes of the western Bahamas (i.e., Little Bahama Bank, Great Bahama Bank,
315 and Cay Sal Bank) and the northern coast of Cuba represent another region of hard-bottom, deep-
316 sea coral habitat. The total area of possible DSCE habitat on these slopes is estimated to be 7,949
317 km², or 19.9% of the total area of DSCE habitat in the study region (Table 1). The habitat polygons
318 were drawn along apparent steep escarpment regions at depths of 200 m to 1000 m (Figs. 1-4). The
319 geomorphology and benthic assemblages of the western Bahamas wall and slope was described by
320 Neumann and Ball (1970), Neumann et al. (1977), Reed (1985), Reed and Pomponi (1997), and

321 Messing et al. (1990). The deep forereef escarpment generally extends from depths of 50-100 m to
322 >200 m and consists of steep rocky slopes (30-70°) and vertical escarpments with ledges and
323 undercuts. Below this, the deep island slope continues and consists of rock pavement, boulders, and
324 mud slopes and extends to depths >1000 m in some areas, especially in the southern Straits of
325 Florida off Cuba. These hard-bottom, deep island slopes provide DSCE habitats, consisting
326 primarily of gorgonians, black coral, and sponges, but thickets of colonial scleractinian corals
327 appear uncommon at the sites that we have ground-truthed. The only ground-truth data we have for
328 northern Cuba was from *Johnson-Sea-Link* submersible dives made for a film documentary. It is
329 interesting to note that the deep fore-reef rocky slope habitat that is common off Bahamas and Cuba
330 does not occur on the eastern and southern Florida shelf where the slope is primarily unconsolidated
331 sediment. The shallow-water reefs that extend from the Florida Keys to central Florida generally
332 end at depths of 30-40 m or less. Off north-central Florida, the *Oculina* coral reefs occur at depths
333 of 60-100 m, whereas Miller's Ledge and Riley's Hump within the Tortugas Ecological Reserve of
334 the Florida Keys National Marine Sanctuary provide hard-bottom, coral habitat to depths of 50-120
335 m. Recent coastal sonar surveys off southern and central Florida also indicate some hard-bottom
336 ledges along the 70-90 m depth contours (Walker et al. 2004).

337 338 *Museum records*

339
340 Museum specimens of deep-water corals and other attached sessile benthic species collected
341 by dredges or trawls may be useful indicators of potential hard-bottom DSCE habitat. Cairns
342 (1979, 2000) reported 192 species of scleractinian corals within the tropical and warm temperate
343 western Atlantic based on 6,900 specimens collected from 550 stations from the 1800s to the
344 present, primarily from dredges and trawls, and housed in the National Museum of Natural
345 History, Smithsonian Institution. Of the azooxanthellate species, 73 occur at depths <183 m, and
346 56 occur exclusively >183 m. We selected a depth of 50 m to divide deep-water occurrences
347 from shallow-water in plotting on our ArcGIS maps. Mesophotic reefs with zooxanthellate
348 corals generally do not exceed depths of 50 m in this region. For example, *Oculina varicosa*
349 forms zooxanthellate coral colonies at depths <30 m, but forms azooxanthellate high-relief reefs
350 at depths >50 m. The depth of >50 m was also selected to define 'deep corals' in NOAA's deep
351 coral ecosystem report (Lumsden et al. 2007). A query of the above database (Cairns 1979,
352 2000) of all scleractinian corals occurring in the western Atlantic (southeastern U.S., Bahamas
353 and Caribbean) at depths >50 m resulted in 1,826 records. Of these, 53 records belong to the
354 genera *Lophelia*, *Enallopsammia* or *Madrepora*, and ranged in the Straits of Florida from 91 to
355 1,336 m depths (shown as black triangles, Figs. 2-4). Our records of collections with
356 submersibles (HBOI Museum, FAU) from the Straits of Florida provide an additional 79 records
357 of occurrences for *L. pertusa* (172-828 m), 41 for *E. profunda* (305-865 m), and 20 for *M.*
358 *oculata* (321-828 m). Cairns (1979, 2000) also reported an additional 252 records of other deep-
359 water stony coral genera, all solitary species, in the Straits of Florida in depths of 50-1,071 m
360 (shown as black dots, Figs 2-4). We include these to show the extent of deep-water stony corals
361 in this region: however, we did not include these sites in calculating the areal extent of hard
362 bottom or DSCE habitat as some of these solitary taxa may occur on either hard- or soft-bottom
363 habitat.

364 365 DISCUSSION

366

367 *Areal extent of deep-sea coral ecosystem habitat*

368

369 All high-relief topographic features that we have ground-truthed to date within our region of
370 study support deep-sea coral ecosystem (DSCE) habitat. Based on these data we are confident
371 that high-relief features, as well as steep escarpments are good predictors of DSCE habitat in this
372 region. Elsewhere, of course, other processes may also generate high-relief submarine features
373 that are not hard bottom and our hypothesis is only relevant to this region of the Western
374 Atlantic.

375 We estimate a total planar area of 39,910 km² of DSCE habitat (>200 m depth) from
376 northeastern Florida (31°N) through the Straits of Florida between Florida and the Bahamas and
377 Cuba. This exceeds the areal extent of shallow-water coral habitat for all U.S. waters, including
378 Pacific and Caribbean territories to the U.S. Exclusive Economic Zone (EEZ; 36,813 km²,
379 Roman et al. 2005). In addition, the extent of deep-water coral habitat for the entire southeastern
380 U.S. is even more massive, as it extends northward on the Blake Plateau and continental shelf
381 margin from Georgia to North Carolina. Worldwide, deep-water coral reefs may cover as much
382 area of seafloor as is estimated for all shallow warm-water reefs (Fireweld and Roberts 2005).

383 The South Atlantic Fishery Management Council, which manages fisheries for the
384 southeastern U.S., has used our habitat polygons in part for defining the extent of DSCE habitat
385 in this region for their newly designated, deep-water Coral Habitat Areas of Particular Concern
386 (CHAPCs). The total area of the CHAPCs off the southeastern U.S., from North Carolina to
387 south Florida, is 62,714 km², which is ~13% of the seafloor in U.S. waters in this region out to
388 the EEZ (493,218 km²). An estimated 69% of the total area of the CHAPCs is off Florida
389 (43,393 km²). Of the total 22,057 km² of DSCE habitat that we estimate is in U.S. waters off
390 Florida, we calculate that 15,503 km² (70.3%) is within the Florida CHAPCs. This leaves
391 approximately 6,554 km² of DSCE habitat that remains unprotected (29.7%) and outside the
392 boundaries of the CHAPCs in U.S. waters off Florida, and of course, all the Bahamian and
393 Cuban deep-sea coral habitat remains unprotected. Figure 1 shows at least seven areas of
394 probable DSCE habitat that lie outside the CHAPCs off Florida. These include three areas of
395 possible coral mound habitat off eastern Florida that are just west of the CHAPC boundary
396 between 26° and 31° N; an area of hard-bottom habitat on the northwestern tip of the Miami
397 Terrace; large portions of hard-bottom habitat on the Pourtales Terrace and Escarpment; and the
398 escarpment walls of the Tortugas and Agassiz Valleys.

399

400 *Distribution of Lophelia and Enallopsammia coral habitat*

401

402 Off the southeastern U.S., deep-water coral mounds occur at depths of approximately 400 to
403 1000 m from North Carolina to south Florida. Within our study area from 31°N to the southern
404 Straits of Florida, *L. pertusa* and/or *E. profunda* coral mounds occur as either bioherms (coral-
405 capped mounds of mud and coral debris) or lithoherms (coral-capped rock mounds). These
406 mounds are predominately *L. pertusa* but some are apparently entirely *E. profunda*, and some are
407 intermixed. *E. profunda* appears especially common on the coral mounds off Great Bahama Bank
408 and at the foot of the Miami Terrace (Reed et al. 2006, Grasmueck et al. 2007). *Madrepora oculata*
409 is not a major contributor and occurs only as isolated, small colonies with either *L. pertusa* or *E.*
410 *profunda*. For reasons unknown, extensive areas of dead *E. profunda* rubble are also common
411 throughout the region and often at the bases of the mounds and the foot of the Miami Terrace.

412 On the western side of the Straits of Florida, the *Lophelia/Enallopsammia* coral mounds
413 appear to terminate near the southern end of the Miami Terrace (~25° 30'N) off Florida (Reed et
414 al. 2006, Grasmueck et al. 2007). We have found none to date within U.S. waters in the southern
415 Straits of Florida off the Florida Keys, Pourtalès Terrace or Agassiz and Tortugas Valleys.
416 However, large coral mounds extend further south on the east side of the Straits along the foot of
417 the Great Bahama Bank at least as far as 24° 35'N (Grasmueck et al. 2007). Little data exists
418 south of this in the deepest parts of the southern Straits of Florida and along the north coast of
419 Cuba (Figs. 2, 3); however, a small region of high-relief mounds which may be coral habitat are
420 apparent from the bathymetric maps off Cuba (Fig. 4). Grasmueck et al. (2006, 2007) compared
421 deep-water coral mounds on the eastern and western sides of the Straits of Florida between
422 Miami and Great Bahama Bank and found relatively similar temperatures but strikingly different
423 current regimes. Sites off Great Bahama Bank showed tidal currents, which reversed N and S
424 daily, whereas currents at the foot of the Miami Terrace on the west side of the Straits were
425 predominantly southerly due to a persistent counterclockwise bottom gyre. Elsewhere in the
426 Straits of Florida and off northeastern Florida, bottom currents during our submersible dives
427 were always northerly due to the Florida Current and Gulf Stream. In this region, coral growth is
428 always densest on mound peaks and flanks facing the current, and thus we found the densest
429 growth on the south faces of mounds throughout the Straits of Florida except for the Miami
430 Terrace sites where the densest growth was on the northern slopes due to the counter current.

431 In contrast to the coral bioherms, lithoherms dominate off north Florida and off Little
432 Bahama Bank along the eastern side of the northern Straits of Florida. Off northeastern Florida,
433 Paull et al. (2000) described the geology of an extensive system of deep-water lithoherms at
434 depths of 440 to >900 m. They postulated that over 40,000 individual lithoherms on the Blake
435 Plateau and Straits of Florida may exceed the areal extent of all the shallow-water reefs of the
436 southeastern U.S. Individual mounds reach 1000 m long, 300 m wide and 40 m high. They have
437 steep (30- 60°) slopes armored by lithified crusts. Thickets of coral (*L. pertusa* and *E. profunda*)
438 cap the tops and southern flanks, which face the Gulf Stream. The other region of lithoherms,
439 off Little Bahama Bank, form 50-m high elongated lithified mounds at depths of 500-700 m
440 (Neumann et al. 1977, Messing et al. 1990). They are composed of hardened concentric crusts of
441 lithified muddy carbonate sediment and appear to be constructed by subsea lithification of
442 successive layers of trapped sediment and skeletal debris. The largest are capped by thickets of
443 living *L. pertusa*, often with dense stands of the large flabellate zoanthid, *Gerardia* sp., on crests
444 and upper flanks.

445 Unlike the coral bioherms, the Miami and Pourtalès Terraces are primarily limestone rock.
446 The Miami Terrace Escarpment ridges are capped with *L. pertusa*, Stylasteridae, Isididae, and
447 various sponges and octocorals; *E. profunda* coral mounds and coral rubble dominate near the
448 eastern foot of the escarpment. Whereas the Miami Terrace has thickets of *L. pertusa* and *E.*
449 *profunda*, the Pourtalès Terrace, just 85 km to the south, supports deep-sea coral habitat
450 dominated by stylasterid hydrocorals and accompanied by gorgonians and sponges, but
451 submersible dives have found only a few isolated *L. pertusa* colonies, primarily along the edges
452 of sinkholes. The reasons for these faunal differences remain unclear, especially because both
453 Terrace habitats lie in the Florida Current, and at depths and temperatures suitable for *L. pertusa*.

454 As might be expected from the broad worldwide distribution of cold-water corals, DSCEs
455 occur in a wide range of geologic settings. In addition to bioherms and lithoherms, deep-sea
456 corals are associated with authigenic carbonate outcrops in the Gulf of Mexico (Schroeder 2001),
457 seamount-crest mega-ripples off Spain (Freiwald 2000), submarine canyons off Canada

458 (Mortensen & Bühl-Mortensen 2005), and iceberg plough marks and glacial moraines off
459 Norway (Freiwald et al. 1999, Mortensen et al. 2001).

460
461 *Predicting deep-sea coral ecosystem habitat*
462

463 It is estimated that 95% of all records of deep-sea, framework-forming corals occur in the
464 Atlantic Ocean and primarily in the northeast and northwest Atlantic (Guinotte et al. 2006). By
465 using ecological-niche factor analysis (ENFA), Davies et al. (2008) determined that the primary
466 predictors for the occurrence of *L. pertusa* on both the global and regional scales were mean
467 depths of 468-480 m, higher than average currents and productivity, mean temperatures of 6.2-
468 6.7°C, dissolved oxygen of 5.98 ml l⁻¹, seawater saturated with aragonite (i.e., above the
469 aragonite saturation horizon), and low concentrations of nutrients (silicate, phosphate, and
470 nitrate). They further defined two main hypotheses for the environmental predictors for deep
471 coral distribution. In the Current Acceleration Hypothesis (Mortensen et al. 2001), deep-sea
472 coral reefs occur in areas of accelerated currents and sloping topography or topographic highs,
473 where currents tend to concentrate food supply and may limit or reduce sedimentation.
474 According to the Hydraulic Theory (Hovland and Thomsen 1997), deep-sea coral reefs are
475 associated with seepage of hydrocarbons through the seafloor. Although hydrocarbon seeps are
476 commonly associated with many of the deep-sea coral communities in the Gulf of Mexico
477 (Brooke and Schroeder 2007), we have seen no evidence of seeps on the coral mounds of the
478 southeastern U.S. Roberts et al. (2009) largely discounted the Hydraulic Theory in the eastern
479 Atlantic as well. Recent *Johnson-Sea-Link* submersible dives using a methane detector on
480 various coral mounds in the Straits of Florida found no evidence of any significant methane
481 peaks (M. Grasmueck, Univ. Miami, personal communication). However, a definitive answer
482 will require more extensive surveys and analyses.

483 In this region off the southeastern U.S., the Current Acceleration Hypothesis applies, as do
484 the predictors of the ENFA model. All areas are bathed by the Florida Current and Gulf Stream,
485 which provide suspended food that all local habitat-forming sessile cnidarians and sponges
486 require. Much of the DSCE habitat is associated with topographic highs or escarpments.
487 Although near-seafloor data for physical factors is limited, the ranges recovered by the
488 occasional submersible or ROV dive are similar to those reported by Davies et al. (2008). In our
489 ROV and submersible dataset, the depth range was 250 to 914 m (maximum depth of the
490 submersible), bottom temperatures 6.2-12.6°C (chiefly 6-10°C), salinities 34.0-36.4 ppt, currents
491 0-100 cm s⁻¹ (chiefly 10-30 cm s⁻¹), and dissolved oxygen 2.79-3.2 mg l⁻¹ (Reed et al. 2005 a,
492 2006). Only dissolved oxygen appears lower at our sites than the mean for the ENFA analyses
493 (5.9 ml l⁻¹). We predict that deep-water corals could occur anywhere in this region where proper
494 depth (>200 m) and suitable substrate occur for coral attachment. However, Davies et al. (2008)
495 pointed out that not all occurrences of *L. pertusa* rely upon large amounts of hard substrate.
496 Larval settlement may also occur on small cobble (Wilson 1979).

497 During the Southeast Area Monitoring and Assessment Program (SEAMAP, Arendt et al.
498 2003), the senior author (J.Reed) compiled a list of benthic genera occurring in 200-2000 m that
499 would most likely indicate hard-bottom habitat on the Blake Plateau and Straits of Florida.
500 Numerous deep-water sessile organisms (including hard corals, octocorals, black corals, sponges,
501 hydroids, and stalked crinoids) typically require hard-bottom substrate. Museum records of these
502 taxa could predict probable hard-bottom habitat. The following summarizes the number of these
503 taxa in this region:

504
505 Porifera, Demospongiae (121 genera)
506 Cnidaria, Hydrozoa, Stylasteridae (8 genera)
507 Cnidaria, Octocorallia (64 genera)
508 Cnidaria, Scleractinia (56 genera)
509 Cnidaria, Antipatharia (13 genera)
510 Echinodermata, Crinoidea (7 genera, stalked taxa).

511
512 Of these, only the Scleractinia were compiled from museum records and entered into our
513 ArcGIS database as described above. Numerous museums have electronic databases for their
514 marine invertebrate collections that allow for some queries of their inventories for this region,
515 although many are incomplete and do not represent entire holdings. It is uncertain whether the
516 time required for searching through the records of each of these 287 taxa would significantly add
517 to the predictability of hard-bottom habitat distributions in the region.

518
519 *Conservation*

520
521 Energy development and bottom fishing represent two primary threats to deep-sea coral
522 ecosystems worldwide and especially in the southeastern U.S. Prior to 2008, a moratorium on
523 oil and gas development off the Florida coast prevented potential impact; however, recent U.S.
524 legislation possibly lifting the moratorium, and exploration in adjacent Cuban waters has
525 increased this threat. More recently, we have seen the potential impact to DSCEs downstream of
526 the massive oil spill from the Deepwater Horizon drilling site in the Gulf of Mexico. During the
527 spill in July of 2010, Harbor Branch Oceanographic Institute scientists used the *Johnson-Sea-*
528 *Link* submersible to investigate potential impacts of the oil on deep-water and mesophotic (shelf
529 edge) reefs along the west Florida shelf and Florida Keys. Luckily to date, we have seen no
530 evidence of oil on these habitats except for one report of dead deep-sea coral approximately 11
531 km from the well site at 1,400 m depth (C. Fisher, Penn State Univ.). Natural gas pipelines and
532 renewable energy projects such as ocean turbines that are currently being considered in this
533 region could also directly impact these habitats (Messing et al. 2006, 2008).

534 Recently, five deep-water Coral Habitat Areas of Particular Concern (CHAPCs) were
535 established off southeastern U.S. from North Carolina to south Florida, encompassing 62,714
536 km² (NOAA 2010). These sites were originally proposed by the South Atlantic Fishery
537 Management Council (SAFMC 2009) which manages fisheries for the southeastern U.S. through
538 the Magnuson-Stevens Fishery Conservation and Management Act (Fig. 1;
539 <http://www.safmc.net/ecosystem/HabitatManagement/DeepwaterCorals>). The SAFMC adopted
540 recommendations developed by its Coral and Habitat Advisory Panels after nearly six years of
541 extensive research by numerous scientists and discussions with state, federal and local managers;
542 conservation representatives; and fishing industry representatives (Reed 2004, Ross 2004).
543 These CHAPCs were established in response to concerns about potential impacts of benthic
544 fisheries in this region on coral and hard-bottom habitats. The CHAPCs prohibit or restrict
545 bottom-tending fisheries such as bottom trawls, longlines, dredges and traps (Brouwer 2008).
546 Potential fisheries at these depths are golden crab (*Chaceon fenneri*), blueline tilefish
547 (*Caulolatilus microps*), golden tilefish (*Lopholatilus chamaeleonticeps*), and various species
548 called royal red shrimp (chiefly *Pleoticus robustus*). All of these species have been observed
549 during dives with human occupied submersibles and ROVs (Reed and Farrington 2010).

550 Protection such as established with the deep-water CHAPCs will help prevent long-term
551 damage from bottom trawling, which has occurred on the deep-sea coral ecosystems worldwide
552 (Fosså et al. 2002). In Florida waters, fishing virtually eliminated high densities of economically
553 important reef fish and large spawning aggregations of grouper on the deep-water *Oculina*
554 *varicosa* coral reefs (Gilmore and Jones 1992, Koenig et al. 2005), and bottom trawling for rock
555 shrimp severely impacted the coral habitat with up to 100% coral loss on some *Oculina* reefs
556 (Koenig et al. 2005, Reed et al. 2007). In 1984, NOAA designated a 315-km² region as the
557 deep-water *Oculina* coral Habitat Area of Particular Concern (OHAPC), which established the
558 first deep-sea coral marine protected area in the world and prohibited bottom trawling, dredging,
559 and bottom longlines. This area was expanded to 1,029 km² in 2000. After trawling for rock
560 shrimp was prohibited in the OHAPC, trawlers moved to deeper habitats in search of valuable
561 commercial fisheries, such as royal red shrimp. The resource potential of the deep-water habitats
562 in this region is great, both in terms of fisheries and potential novel biopharmaceutical
563 compounds recently discovered from associated fauna such as sponges (Guzmán et al. 2009,
564 Wright et al. 2009).

565 Designation of deep-sea coral ecosystems as HAPCs does not regulate nor protect them
566 from potential energy development such as proposed LNG pipelines, oil and gas exploration, and
567 renewable energy projects. Deep-sea coral ecosystems are extremely complex and would take
568 decades to recover from direct impact, if at all. This is particularly evident with the deep-water
569 *Oculina* reefs where after 25 years of protection, little new coral growth is evident in damaged
570 areas (Reed et al. 2007). It is therefore critically important to map these habitats with high
571 resolution sonar and visual surveys in order to provide the best available scientific data for
572 developing legislation and protection from potential human impact. Not only are high resolution
573 surveys critical to define DSCE habitats but also to define areas devoid of coral and sponge
574 habitat to allow for potential bottom fisheries and energy development.

575
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786 TABLES
787

788 Table 1. Planar areal extent (km²) of regions of deep-sea coral ecosystem habitat in the study
789 area from northeastern Florida (31°N) through the Straits of Florida between Florida, Bahamas
790 and Cuba. Deep-water Coral Habitat Areas of Particular Concern (CHAPC) are within U.S.
791 territorial waters only.

Habitat Type	U.S. Florida	Western Bahamas	Northern Cuba	Total
Coral Mounds km ² (% of total)	13,440 (58.0%)	9,482 (41.0%)	227 (1.0%)	23,149 (100%)
Island Slope km ² (% of total)	-	4,226 (53.2%)	3,723 (46.8%)	7,949 (100%)
Miami Terrace and Escarpment km ² (% of total)	2,329 (100%)	-	-	2,329 (100%)
Pourtales Terrace and Escarpment km ² (% of total)	5,660 (97.2%)	154 (2.6%)	9 (0.2%)	5,823 (100%)
Agassiz/Tortugas Valleys km ² (% of total)	628 (95.2%)	-	32 (4.8%)	660 (100%)
Total DSCE Habitat km² (% of total)	22,057 (55.3%)	13,862 (34.7%)	3,991 (10.0%)	39,910 (100%)
DSCE Habitat within CHAPC (U.S. only)	15,503 (70.3%)	-	-	-
DSCE Habitat outside CHAPC (U.S. only)	6,554 (29.7%)	-	-	-

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FIGURE LEGENDS

796 Figure 1. Regions of deep-sea coral ecosystem (DSCE) habitats mapped from northeastern
797 Florida (31°N) through the Straits of Florida between Florida, western Bahamas, and
798 northern Cuba. Dashed line= U.S. EEZ; polygons (heavy bold line)= boundaries of deep-
799 water Coral Habitat Areas of Particular Concern (CHAPC) and deep-water *Oculina* coral
800 HAPC; colored polygons= DSCE habitats (see legend); striped polygon= area of data gap in
801 coastal relief digital elevation model (DEM).

802 Figure 2. Regions of deep-sea coral ecosystem (DSCE) habitats mapped off northeastern Florida
803 (31°N) and northern Straits of Florida. Dashed line= U.S. EEZ; polygons (heavy bold line)=
804 boundaries of deep-water Coral Habitat Areas of Particular Concern (CHAPC) and deep-
805 water *Oculina* coral HAPC; colored polygons (see Fig. 1 caption for legend)= DSCE
806 habitats; stars= DSCE habitat mapped with ROV, submersible or sonar; triangles= museum
807 records of *Lophelia pertusa*, *Enallopsammia profunda*, or *Madrepora oculata* corals; dots=
808 museum records of other deep-water (>50 m depth) coral species (Cairns 1979, 2000); depth
809 contours in meters.

810 Figure 3. Regions of deep-sea coral ecosystem (DSCE) habitats mapped off southeast Florida,
811 including Straits of Florida. (Same legend as Fig. 2)

812 Figure 4. Regions of deep-sea coral ecosystem (DSCE) habitats mapped in the southern Straits
813 of Florida. (Same legend as Fig. 2)

814 Figure 5. Bathymetric maps used to draw polygon lines in GIS (ArcView®) around regions of
815 high-relief bathymetry. Left: bathymetric map showing hill-shaded, 3-D topography (NOAA
816 coastal relief digital elevation model); Right: NOAA NOS Pillsbury NH17-12 regional
817 bathymetric map (10-m contour lines); dots= deep-water coral habitats ground-truthed with
818 submersible dives. Smooth contour lines to west of high-relief polygon represent relatively
819 flat mud of Florida-Hatteras Slope.

820 Figure 6. Bathymetry and images of a deep-water *Lophelia pertusa* coral reef within the deep-
821 water Coral Habitat Areas of Particular Concern off Cape Canaveral, Florida. Top- images
822 from *Johnson-Sea-Link* submersible: *Lophelia pertusa* coral thickets with crabs and fish;
823 lower left- 10-m contour lines from NOAA chart (Pillsbury NH 17-12); lower right- AUV
824 multibeam sonar of same site showing five, 60-m tall mounds over 1 km area.
825
826

-84° W

-82° W

-80° W

-78° W

-76° W

South Carolina



32° N

30° N

28° N

26° N

24° N

Stetson/Savannah
DSCE

East Florida
DSCE

Florida

Oculina
HAPC

Miami
Terrace

Pourtales
Terrace

Agassiz
Valleys

Cuba

EEZ

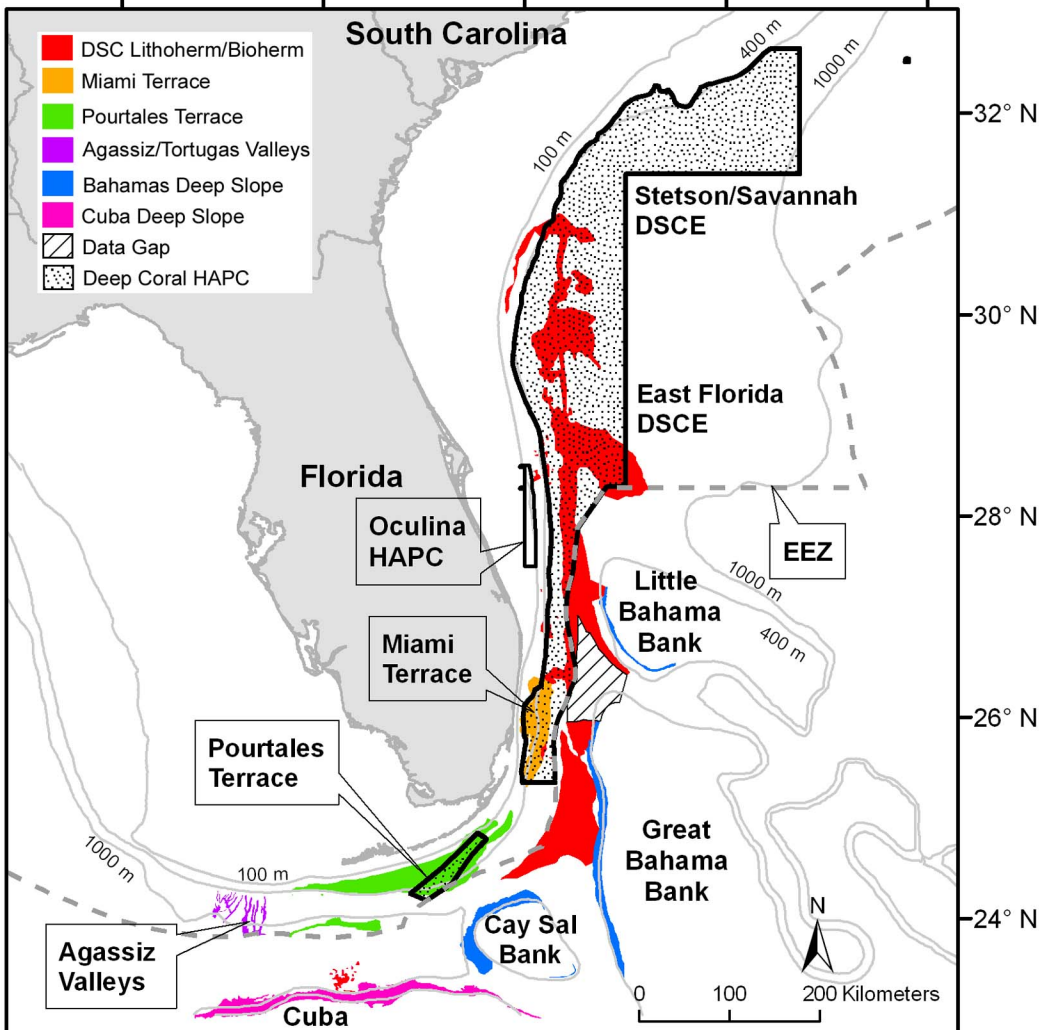
Little
Bahama
Bank

Great
Bahama
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Cay Sal
Bank



0 100 200 Kilometers



-82° W

-81° W

-80° W

-79° W

31° N

Jacksonville

CHAPC

CHAPC

30° N

Florida

Cape Canaveral

EEZ

29° N

Oculina
HAPC

28° N

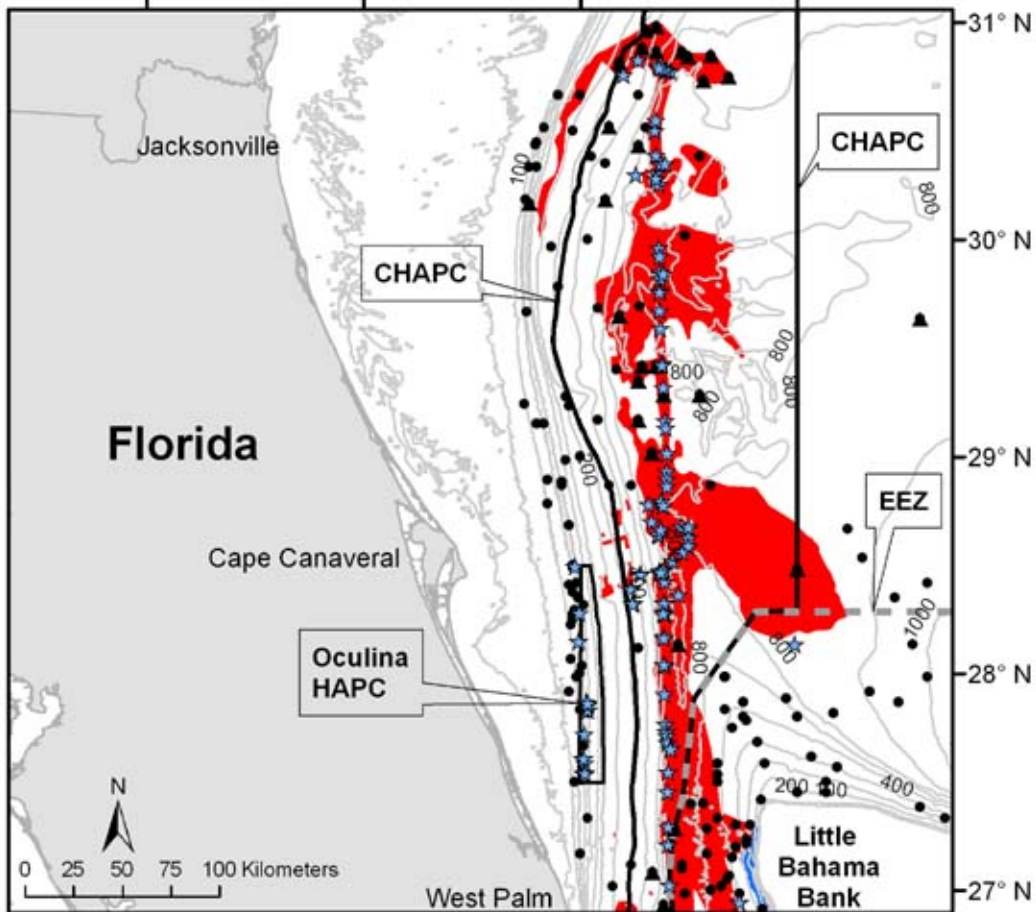
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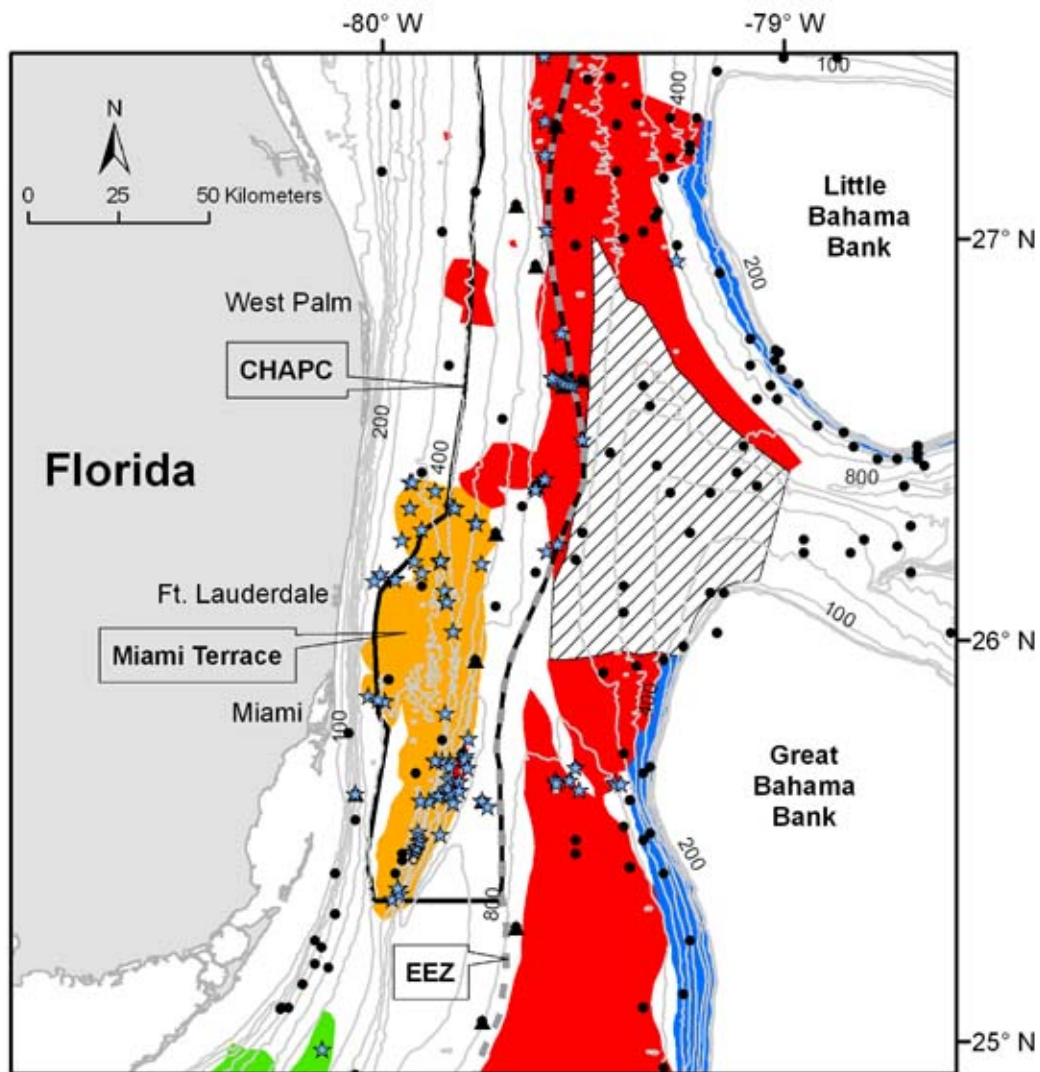
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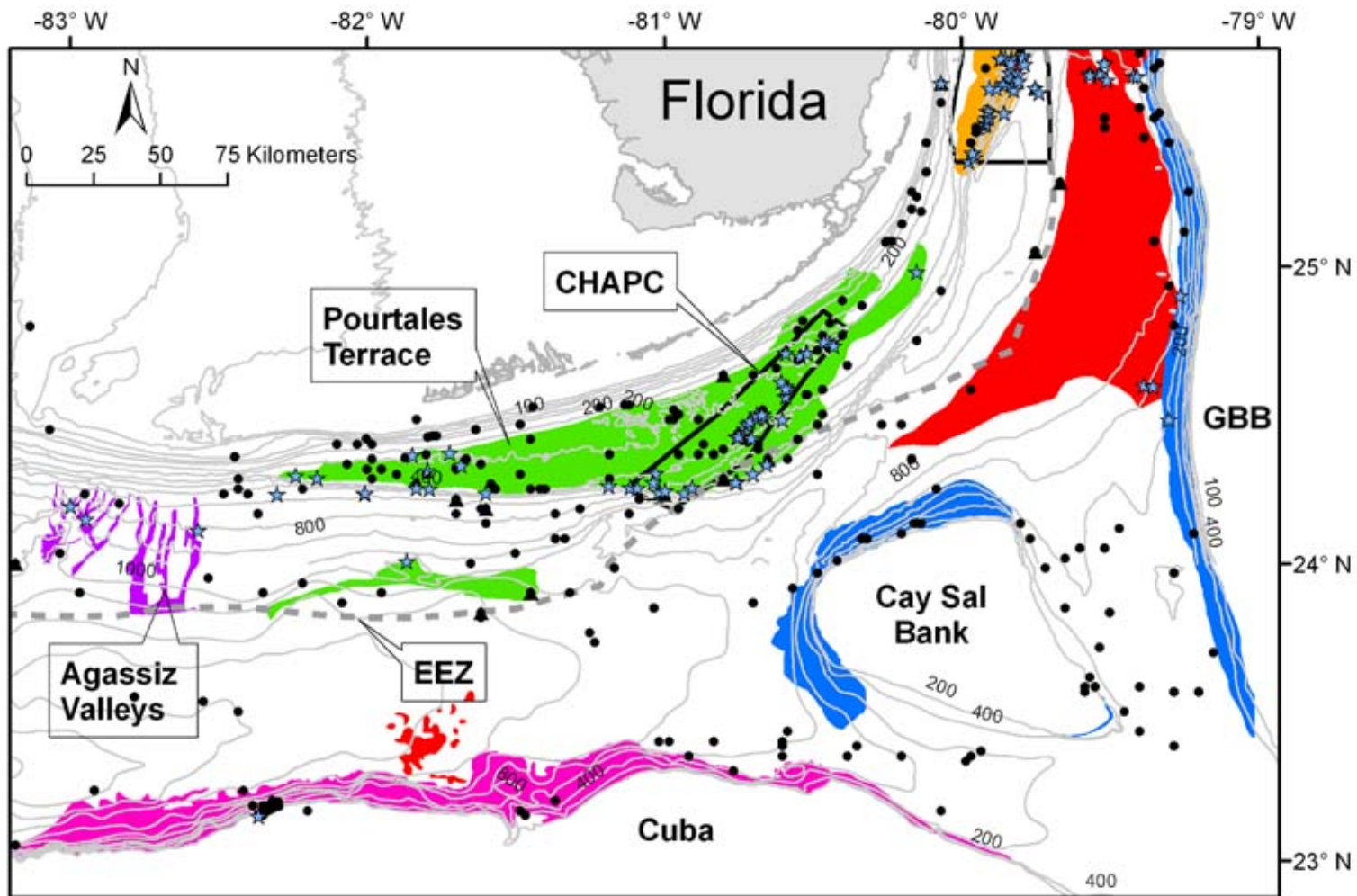
Little
Bahama
Bank

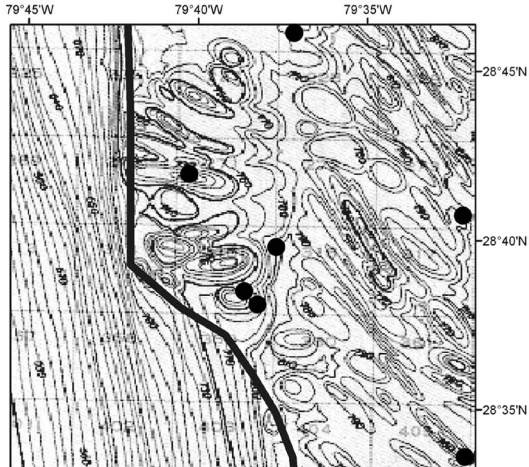
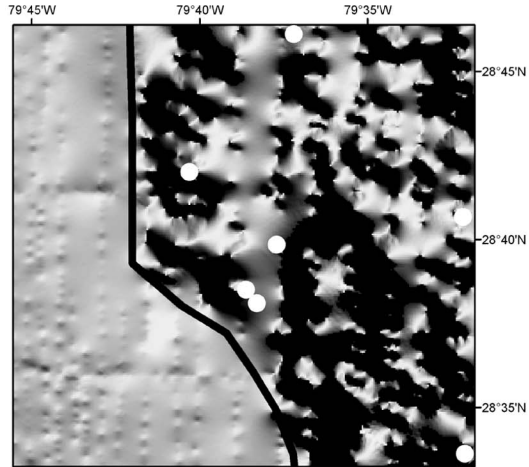
27° N

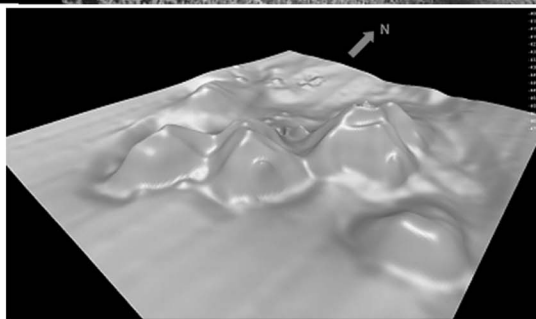
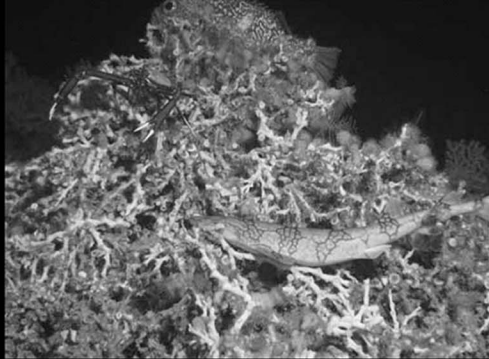
West Palm











1 km